

Cabrera Capital Markets, LLC

SEC Rule 606 Report

Quarterly Report for the Quarter Ending on Tuesday, March 31, 2009

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	93.66%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	6.29%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	0.05%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDER :

LEK SECURITIES	25.07%
CSFB	19.26%
THE CHICAGO STOCK EXCHANGE	17.11%
BNY-CONVERGEX	13.18%
JP MORGAN	4.34%
NY STOCK EXCHANGE	2.33%
TERRA NOVA FINANCIAL	0.66%
ITG INC	0.51%

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INFORMATION CONCERNING SIGNIFICANT VENUES:

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	21.28%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	3.74%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.05%

CSFB

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	19.20%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	14.86%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	2.25%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	13.12%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.05%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

JP MORGAN

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	4.28%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.06%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

NY STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	2.33%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

TERRA NOVA FINANCIAL

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.66%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

ITG INC

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.51%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SECURITIES LISTED ON THE NASDAQ STOCK MARKET

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	97.75%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	2.25%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

LEK SECURITIES	23.89%
BNY-CONVERGEX	23.00%
CSFB	21.10%
THE CHICAGO STOCK EXCHANGE	18.29%
JP MORGAN	8.40%
ITG INC	0.48%
TERRA NOVA FINANCIAL	0.23%

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INFORMATION CONCERNING SIGNIFICANT VENUES:

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	22.88%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.01%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	23.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

CSFB

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	21.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.10%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	17.23%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	1.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

JP MORGAN

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	8.40%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

ITG INC

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.48%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

TERRA NOVA FINANCIAL

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.15%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.07%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SECURITIES LISTED ON THE AMERICAN STOCK EXCHANGE OR REGIONAL EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	95.32%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	4.68%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

LEK SECURITIES	28.06%
BNY-CONVERGEX	24.61%
THE CHICAGO STOCK EXCHANGE	23.51%
JP MORGAN	11.51%
CSFB	11.39%

INFORMATION CONCERNING SIGNIFICANT VENUES:

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	23.68%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	4.38%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	24.61%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	23.51%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

JP MORGAN

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	11.51%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

CSFB

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	11.39%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

SUMMARY STATISTICS:

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	94.71%
LIMIT ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	5.26%
OTHER ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	0.04%