

Cabrera Capital Markets, LLC

SEC Rule 606 Report

Quarterly Report for the Quarter Ending of Thursday, December 31, 2009

SECURITIES LISTED ON THE NEW YORK STOCK EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	90.55%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	9.44%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

LEK SECURITIES	37.84%
THE CHICAGO STOCK EXCHANGE	22.02%
BNY-CONVERGEX	18.98%
NITE	10.51%
CSFB	5.40%
ITG INC	3.21%
NYFIX MILLENIUM	0.67%
TERRA NOVA FINANCIAL	0.58%
DOUGALL	0.38%
NOMURA	0.24%
NY STOCK EXCHANGE	0.16%
INSTINET	0.00%

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INFORMATION CONCERNING SIGNIFICANT VENUES :

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>32.54%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>5.31%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>18.29%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>3.73%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>18.98%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

NITE

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>10.15%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.36%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

CSFB

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>5.40%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

ITG INC

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>3.21%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

NYFIX MILLENIUM

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>0.62%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.05%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

TERRA NOVA FINANCIAL

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>0.58%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

DOUGALL

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>0.38%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

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NOMURA

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.24%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

NY STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.16%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

INSTINET

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.00%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

SECURITIES LISTED ON THE NASDAQ STOCK MARKET

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	90.35%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	9.65%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	0.00%

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

LEK SECURITIES	30.60%
THE CHICAGO STOCK EXCHANGE	25.23%
BNY-CONVERGEX	22.01%
NITE	14.43%
ITG INC	3.11%
CSFB	1.42%
NYFIX MILLENIUM	1.30%
NOMURA	1.10%
JEFFERIES	0.77%
TERRA NOVA FINANCIAL	0.03%

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INFORMATION CONCERNING SIGNIFICANT VENUES :

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>25.21%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>5.40%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>21.03%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>4.19%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>22.01%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

NITE

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>14.37%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.06%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

ITG INC

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>3.11%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

CSFB

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>1.42%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

NYFIX MILLENIUM

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>1.30%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

NOMURA

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>1.10%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

JEFFERIES

TYPES OF ORDER ROUTED TO VENUE:

<i>MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS</i>	<i>0.77%</i>
<i>LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS</i>	<i>0.00%</i>
<i>OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS</i>	<i>0.00%</i>

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TERRA NOVA FINANCIAL

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.03%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

SECURITIES LISTED ON THE AMERICAN STOCK EXCHANGE OR REGIONAL EXCHANGE

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	80.65%
LIMIT ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	19.35%
OTHER ORDERS AS PERCENTAGE OF TOTAL NON-DIRECTED ORDERS	

VENUES RECEIVING SIGNIFICANT PERCENTAGE OF TOTAL NON-DIRECTED ORDERS :

LEK SECURITIES	43.76%
THE CHICAGO STOCK EXCHANGE	33.09%
NITE	17.11%
BNY-CONVERGEX	5.89%
ITG INC	0.16%

INFORMATION CONCERNING SIGNIFICANT VENUES :

LEK SECURITIES

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	33.31%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	10.45%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

THE CHICAGO STOCK EXCHANGE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	24.19%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	8.90%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

NITE

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	17.11%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

BNY-CONVERGEX

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	5.89%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

ITG INC

TYPES OF ORDER ROUTED TO VENUE:

MARKET ORDERS AS PERCENTAGE OF TOTAL MARKET ORDERS	0.16%
LIMIT ORDERS AS PERCENTAGE OF TOTAL LIMIT ORDERS	0.00%
OTHER ORDERS AS PERCENTAGE OF TOTAL OTHER ORDERS	0.00%

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SUMMARY STATISTICS:

NON-DIRECTED ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	100.00%
MARKET ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	90.46%
LIMIT ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	9.54%
OTHER ORDERS AS PERCENTAGE OF TOTAL CUSTOMER ORDERS	0.00%